Attorney Docket No. 124256.00401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Donoho : Confirmation No.: 7085

Serial No.: 10/686,462 : Group Art Unit: 3691

Filed: October 15, 2003 : Examiner: Edward Chang

TITLE: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND

INVESTOR RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

AMENDMENT AND RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. §1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This Amendment and Response constitutes a complete and timely reply to the Office Action mailed November 24, 2009 in the above-identified application. The following remarks are respectfully submitted.

Amendments to the Claims begin on page 2.

Remarks begin on page 17.